

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: IWAKI et al. Examiner: Bernard E. Souw
Serial No.: 10/609,494 Group Art Unit: 2881
Filed: June 20, 2003 Docket: 10873.1238US01
Title: LIGHT-RECEPTION/EMISSION DEVICE BUILT-IN MODULE WITH OPTICAL AND ELECTRICAL WIRING COMBINED THEREIN, METHOD FOR PRODUCING THE MODULE AND ASSEMBLING MEMBER OF THE MODULE

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 7, 2004.

By: 
Name: Jennifer Holden

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



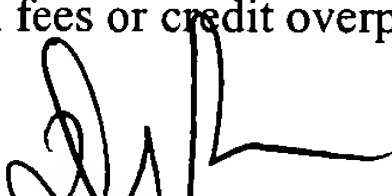
Sir:

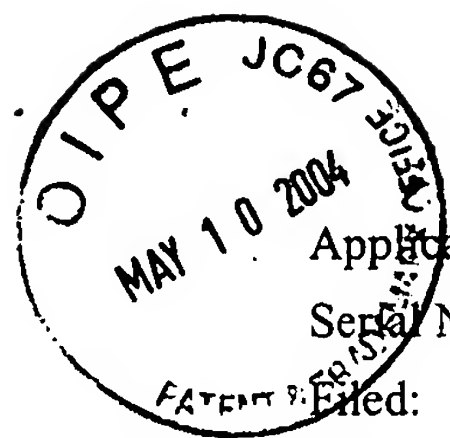
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 4 References
- ☒ Other: European Search Report - mail date April 2, 2004
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 
Name: Douglas P. Mueller
Reg. No.: 30,300
DPM/jh



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By: 

Name: Jennifer Holden

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

Sir:

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MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: 

Name: Douglas P. Mueller

Reg. No.: 30,300

DPM/jh



S/N 10/609,494

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	IWAKI et al.	Examiner:	Bernard E. Souw
Serial No.:	10/609,494	Group Art Unit:	2881
Filed:	June 20, 2003	Docket No.:	10873.1238US01
Title:	LIGHT-RECEPTION/EMISSION DEVICE BUILT-IN MODULE WITH OPTICAL AND ELECTRICAL WIRING COMBINED THEREIN, METHOD FOR PRODUCING THE MODULE AND ASSEMBLING MEMBER OF THE MODULE		

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By: 
Name: Jennifer Holden

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a European Search Report mailed April 2, 2004.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. § 1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Japanese reference 2001-36197 corresponds with U.S. 6,477,286.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

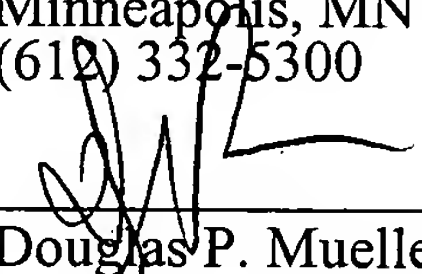
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Dated: May 7, 2004

By: 

Douglas P. Mueller
Reg. No. 30,300

DPM/jh

23552

PATENT TRADEMARK OFFICE

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.1238US01	Application Number: 10/609,494
	Applicant: IWAKI et al.	
	Filing Date: June 20, 2003	Group Art Unit: 2881

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,477,286	11.2002	Ouchi			
	6,215,858	04.2001	Yoshimura et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001-36197	02.2001	Japan			See IDS	
	1 219 994	07.2002	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	